



CERTIFICATE OF EXPRESS MAIL
(PATENT)

Attorney Docket No. 05222.00171

Express Mail No. EL 957198614 US
Deposited October 28, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

By: X e v d

Rosenfeld, et. al., U.S. Patent Application No. 09/868,695 for "A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Preliminary Amendment (1 page)
- Return Receipt Postcard

2121

10-29-02

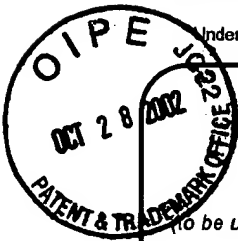
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/868,695
Filing Date	February 8, 1999
First Name of Inventor	Rosenfeld, et. al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	05222.00171

Total Number of Pages in This Submission

4

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Preliminary Amendment Certificate of Express Mail Return Receipt Requested
---	---	--

Remarks

The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

Express Mail No. EL 957198614 US

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth F. Smolik Banner & Witcoff, Ltd.
Signature	<i>Kenneth F. Smolik</i>
Date	October 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 05222.00171)

Col
ah
11-7-02

In re U.S. Patent Application of Rosenfeld,)
et. al.)

Application No. 09/868,695)

Examiner: Unassigned

Filed: February 8, 1999)

Group Art Unit: Unassigned

For: A SYSTEM, METHOD AND ARTICLE)
OF MANUFACTURE FOR A GOAL)
BASED SYSTEM, UTILIZING A TABLE)
BASED ARCHITECTURE)

RECEIVED
OCT 31 2002
Technology Center 2100

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Preliminary Amendment is being filed prior to examination. It is believed that no fee is due in connection with this filing. However, if a fee is due, the Commissioner is authorized to charge such fee to Deposit Account No. 19-0733.

Prior to examining the above-identified application, please amend the application as follows:

IN THE TITLE:

Please change the title to "A GOAL BASED SYSTEM, UTILIZING A TABLE BASED ARCHITECTURE".

REMARKS

The Applicant submits the change in title in order to be more succinct.

Date: October 28, 2002

Respectfully submitted,

Kenneth F. Smolik
Kenneth F. Smolik
Registration No. 44,344
BANNER & WITCOFF, LTD.
10 S. Wacker Drive, Suite 3000
Chicago, IL 60606-7407
Telephone: 312-715-1000
Facsimile: 312-715 1234